

CRMA REFERENCE LIST

Title	Author	Published	URL
Hardcopy References			
Auditor's Risk Management Guide: Integrating Auditing and ERM	Paul J. Sobel, CIA	2013	https://bookstore.theiia.org/auditors-risk-management-guide-integrating-auditing-and-erm-2015-2
Improving Board Risk Oversight Through Best Practices	Paul L. Walker, Ph.D., CPA William G. Shenkir, Ph.D., CPA Thomas L. Barton, Ph.D., CPA	2011	https://bookstore.theiia.org/improving-board-risk-oversight-through-best-practices-5
COSO - Enterprise Risk Management - Integrating with Strategy and Performance (this new version replaces Enterprise Risk Management-Integrated Framework from 2004)	Committee of Sponsoring Organizations of the Treadway Commission	2017	https://bookstore.theiia.org/enterprise-risk-management-integrating-with-strategy-and-performance
Enterprise Risk Management: Today's Leading Research & Best Practices for Tomorrow's Executives by John Fraser and John Shortreed	John Fraser and Betty Simkins	2010	https://bookstore.theiia.org/enterprise-risk-management-todays-leading-research-and-best-practices-for-tomorrows-executives
Internal Auditing's Role in Risk Management	Paul J. Sobel, CIA	2011	https://bookstore.theiia.org/internal-auditings-role-in-risk-management
Sawyer's Internal Auditing, 5th edition	L.B. Sawyer		
Sawyer's Internal Auditing, 6th edition	L.B. Sawyer	2012	https://bookstore.theiia.org/sawyers-guide-for-internal-auditors-6th-edition-8-3
International Professional Practices Framework (IPPF)	The Institute of Internal Auditors, Inc.	2013	https://bookstore.theiia.org/international-professional-practices-framework-ippf-2017-edition
Electronic References			
Risk Management & Internal Audit: Forging a Collaborative Alliance			https://global.theiia.org/standards-guidance/Public%20Documents/RIMS%20and%20The%20IIA%20Executive%20Report%20Forging%20a%20Collaborative%20Alliance.pdf
Enterprise Risk Management: Understanding and Communicating Risk Appetite			https://www.coso.org/Documents/ERM-Understanding-and-Communicating-Risk-Appetite.pdf

IIA Guidance References			
PG: Coordinating Risk Management & Assurance		2012	https://global.theiia.org/standards-guidance/recommended-guidance/practice-guides/Pages/Coordinating-Risk-Management-and-Assurance-Practice-Guide.aspx
PG: Assessing the Adequacy of Risk Management: Using ISO 31000		2010	https://global.theiia.org/standards-guidance/recommended-guidance/practice-guides/Pages/Assessing-the-Adequacy-of-Risk-Management-Practice-Guide.aspx
IIA Position Paper –The Role of Internal Auditing in Enterprise-wide Risk Management			https://global.theiia.org/standards-guidance/recommended-guidance/pages/position-papers.aspx
Related Practice Advisories: 2010-1: Linking the Audit Plan to Risk and Exposures 2010-2: Using the Risk Management Process in Internal Audit Planning 2020-1: Communication and Approval 2050-2: Assurance Maps 2060-1: Reporting to Senior Management and the Board 2120-1: Assessing the Adequacy of Risk Management Processes 2120-2: Managing the Risk of the Internal Audit Activity 2120-3: Internal Audit Coverage of Risks to Achieving Strategic Objectives 2130-1: Assessing the Adequacy of Control Processes			https://global.theiia.org/standards-guidance/recommended-guidance/Pages/Practice-Advisories.aspx

NOTE: The links provided furnish you with the most updated versions of the reference materials. Please contact the IIA Bookstore if you are looking for a previous version. The book store can be contacted at bookstore@theiia.org.