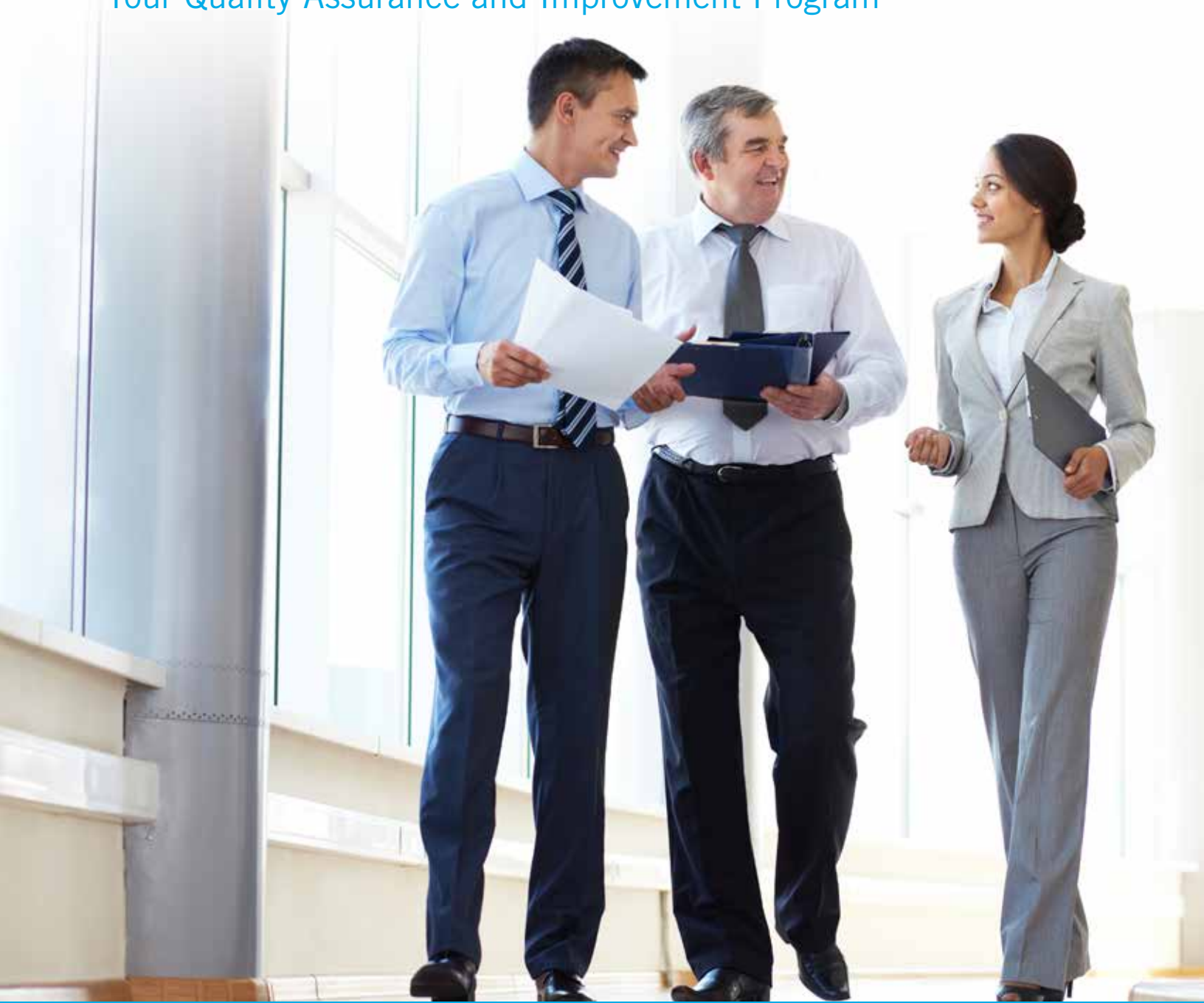


Trust Your Quality to the Experts

Expertise, Resources, and Services to Support
Your Quality Assurance and Improvement Program





Qualified, Trusted, and Independent

With quality at the core of everything we do, our mission is to elevate the professionalism within internal auditing. Proper elevation begins and ends with alignment of The IIA's *International Standards for the Professional Practice of Internal Auditing*, Core Principles, and the Code of Ethics.

Based on IIA Quality Services' progressive knowledge in the IIA methodology — the *Quality Assessment Manual* — our external quality assessment evaluates levels of conformance to the *Standards* and goes beyond to provide for continuous improvement of your internal audit activity to the level of strategic business partner and valued contributor.

Our assessment process identifies improvement opportunities, counsel to the chief audit executive (CAE) for successful practice implementation, as well as promoting the credibility of the internal audit activity with your stakeholders.

Quality Expertise

As a trusted source in the profession with years of internal audit experience and a deep understanding of the implementation and methodology of the *Standards*, IIA Quality Services assists CAEs in gaining support from the audit committee through an understanding of how an external quality assessment is necessary and valuable.

Organizations around the world have enlisted our experts to provide readiness assessments — roadmaps for developing a quality assurance and improvement program (QAIP), full scope external assessments or validations of self-assessments — meeting the requirement of Standard 1312 to conduct an external assessment once every five years.

As part of our process, we have developed a wealth of global knowledge to benchmark your internal audit activity with similar organizations, address the profession's latest trends and challenges, and offer a maturity analysis of your internal audit quality capability.

We maintain an expert team of quality assessors who have excellent credentials and many years of combined experience performing external quality assessments (EQA) to implement our process. These audit professionals are recognized quality assessment leaders. They guide internal audit practitioners in collaboration with The IIA's methodology to perform our assessments for Fortune 500 companies, government agencies, and small businesses, in a wide variety of industries across the globe. Our trusted experts use a consultative approach and share their extensive knowledge and insight regarding effective practices for internal audit activities.

Quality Services

It is essential to validate and continuously improve the internal audit activity in an effort to enhance effectiveness and efficiency. IIA Quality Services' mission is to elevate conformance with the *Standards* and the professionalism within internal auditing by providing knowledge resources and quality assessment services to internal audit activities.

There are steps to developing and maintaining your QAIP. IIA Quality Services is here to support your conformance with the *Standards*, provide counsel, and help prepare internal audit activities for future challenges:

1 **Readiness Assessment – Identifies risks to conformance with *Standards*, provides a baseline for new CAEs, and support for creating a successful QAIP.**

An independent readiness assessment (gap analysis) is focused on the internal audit activity's current level of conformance with the *Standards*, and then offers recommendations for remediation of identified gaps to the IPPF. It can also identify efficiency and effectiveness opportunities.

2 **Self-assessment with Independent Validation (SAIV) – Approach meets the requirements of the *Standards* for an external assessment once every five years.**

An independent external assessor reviews and substantiates the work of the organization's internal self-assessment team and alignment with the *Standards* via an on-site visit, interviews, via internal audit report signoff or issuance of a disparities report.

3 **Quality Assessment (QA) – The most comprehensive approach supports not only conformance, but provides an objective assessment of your internal audit activity's effectiveness.**

An independent assessment team of experts conducts surveys, interviews, and workpaper reviews leading to a documented opinion on conformance with the *Standards*, any observed opportunities for continuous improvement, and recommendations for adding value to the organization.

IIA Quality Services can support your internal audit activity from implementing to maintaining your QAIP with resources, advice, and assessment services. Trust the experts at IIA Quality Services.

Quality Resources

In addition to performing external assessments, we provide expert advice related to the continuous review and updates to our universally accepted *Standards* and guidance, as well as step-by-step instructions for implementing a strong foundation for your QAIP such as:

- Guidance updates and downloads.
- *Quality Assessment Manual*.
- Models (activity, committee, and management).
- Presentations (implementation of QAIP).

Quality Check!

Browse our library of helpful QAIP tools and resources at www.theiia.org/QualityServices.



Raise Your Quality IQ

Did you know per Standard 1300, all internal audit activities — regardless of industry, sector, size, or source — must maintain a QAIP that contains ongoing internal assessments and an external assessment performed at least once every five years? It will help you:

1. Evaluate alignment with the *Standards*.
2. Indicate improvement opportunities.
3. Establish benchmarks and metrics.
4. Promote successful practices.
5. Communicate effectively and as required to stakeholders.
6. Align with internal audit and audit committee charters.
7. Support the organization's risk and control assessment.
8. Ensure effective use of resources.
9. Provide ongoing evaluations of the internal audit activity.
10. Validate your effectiveness and the value added to the organization.
11. Provide assurance to internal audit stakeholders.
12. Assist your audit committee in understanding and carrying out their roles and responsibilities.

Next Steps

Let IIA Quality Services, LLC be your trusted advisor. Our experts can assist you in your QAIP with resources and expertise through a full line of external quality assessment services: quality assessments, validations of self-assessments, and readiness assessments.

Contact Us

+1-407-937-1399
quality@theiia.org
www.theiia.org/QualityServices

IIA Quality Services, LLC is not available to organizations where other IIA Affiliates offer external assessment services. Check with your local IIA chapter or Affiliate.