

## Complaint of Alleged Violation of The IIA Code of Ethics Form North American and At-large Members

A copy of this complaint form and any attachments may be provided to the individual against whom the complaint is filed. The complaint must be signed, in writing, and e-mailed to [ethics@theiia.org](mailto:ethics@theiia.org) or delivered via postal mail to the address provided on this form to the attention of **Office of General Counsel**. The IIA does not accept anonymous complaints or complaints filed verbally or facsimile. While The IIA may make reasonable accommodations to protect the identity of the complainant if appropriate and requested, The IIA cannot guarantee anonymity in any case. The IIA's Code of Ethics and other ethics related information is available at <https://global.theiia.org/standards-guidance/mandatory-guidance/Pages/Code-of-Ethics.aspx>.

DATE: \_\_\_\_\_

### COMPLAINANT (Individual filing the complaint)

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone: \_\_\_\_\_  
E-mail : \_\_\_\_\_

### RESPONDENT (Individual against whom this complaint is directed)

Name: \_\_\_\_\_  
Address: \_\_\_\_\_  
\_\_\_\_\_  
Telephone: \_\_\_\_\_  
E-mail : \_\_\_\_\_

**COMPLAINT DOCUMENTATION:** Summarize in a written attachment the facts on which this complaint is based and enclose copies of all materials and other evidence that corroborate and support the allegations.

**REPORTS TO OTHER ENTITIES:** If you have filed a complaint about this same matter to another agency add an attachment showing to whom it was submitted, the approximate date(s), and whether/how the matter was resolved.

**COMPLAINANT'S SIGNATURE:** I affirm that the statements/information within this complaint are correct and truthful to the best of my knowledge.

\_\_\_\_\_  
Signature of Complainant

\_\_\_\_\_  
Date